



Docket No.: ISH-0247
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Fumiaki MARUYAMA et al.

Confirmation No.: 3293

Application No.: 09/856,139

Art Unit: 2814

Filed: May 29, 2001

Examiner: A. D. Mai

For: WAFER AND EPITAXIAL WAFER, AND
MANUFACTURING PROCESSES THEREFOR

**REVOCATION OF POWER OF ATTORNEY
AND NEW POWER OF ATTORNEY**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Madam:

The undersigned having, on or about April 8, 2009, appointed Rader, Fishman & Grauer PLLC, of 1233 20th Street, N.W., Washington, D.C. 20036 as our attorney to prosecute an application for Letters Patent, which application was filed on May 29, 2001, for an invention entitled WAFER AND EPITAXIAL WAFER, AND MANUFACTURING PROCESSES THEREFOR, Application No. 09/856,139, hereby revokes the Power of Attorney then given, and hereby appoints the following attorneys and/or agents to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected herewith:

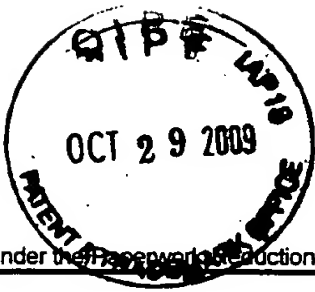
All practitioners at Customer Number 23353.

Address all communications to: Carl Schaukowitch
RADER, FISHMAN & GRAUER PLLC
1233 20th Street, N.W., Suite 501
Washington, DC 20036
(202) 955-3750

For: SHIN-ETSU HANDOTAI CO., LTD.

Nobuhiro Tsuda
Title: Nobuhiro TSUDA
General Manager, Patent Group,
Corporate Planning Dept

Dated: May 29, 2009, Tokyo



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/96 (03-09)
Approved for use through 04/30/2009. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Fumiaki MARUYAMA et al.

Application No./Patent No.: 09/856,139 Filed/Issue Date: May 29, 2001

Titled: WAFER AND EPITAXIAL WAFER, AND MANUFACTURING PROCESSES THEREFOR

SHIN-ETSU HANDOTAI CO., LTD., a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
 2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
 3. ☐ an assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
- the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

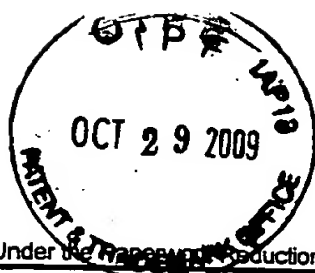
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Nobuhiro Tsuda
Signature

May 29, 2009
Date

Nobuhiro Tsuda
Printed or Typed Name

Authorized Signer for Assignee
Title



STATEMENT UNDER 37 CFR 3.73(b)

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(The extent (by percentage) of its ownership interest is _____ %); or
 3. ☐ an assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
- the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011990, Frame 0935.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
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2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Nobuhiro Tsuda
Signature

May 29, 2009
Date

Nobuhiro Tsuda
Printed or Typed Name

Authorized Signer for Assignee
Title



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/856,139	05/29/2001	Fumiaki Maruyama	107242-00017

CONFIRMATION NO. 3293



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Cc: Carl Schaukowitch
 RADER FISHMAN & GRAUER PLLC
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Date Mailed: 06/18/2009

DENIAL OF REQUEST FOR POWER OF ATTORNEY

The request for Power of Attorney filed **06/10/2009** is acknowledged. However, the request cannot be granted at this time for the reason stated below.

- ☐ The revocation is not signed by the applicant, the assignee of the entire interest, or one particular principal attorney having the authority to revoke.
- ☒ The Power of Attorney is from an assignee and the Certificate required by 37 CFR 3.73(b) has not been received.
- ☐ The person signing for the assignee has omitted their empowerment to sign on behalf of the assignee.
- ☐ The inventor(s) is without authority to appoint attorneys since the assignee has intervened as provided by 37 CFR 3.71.
- ☐ The signature(s) of _____, a co-inventor in this application, has been omitted. The Power of Attorney will be entered upon receipt of confirmation signed by said co-inventor(s).
- ☐ The person(s) appointed in the Power of Attorney is not registered to practice before the U.S. Patent and Trademark Office.

Questions relating to this Notice should be directed to the Application Assistance Unit.

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101